



GLOBAL CONCENTRATED QUALITY

# **Complaints Management Policy**

GCQ Funds Management Pty Ltd

Company: GCQ Funds Management Pty Ltd

ACN: 654 864 767 AFSL: 538513 Date Updated: July 2024

# **VERSION CONTROL**

Version Number	Date Updated	Notes
1	October 2022	Original document prepared and finalised in consultation with Sophie Grace Pty Ltd.
2	December 2023	Annual review
3	July 2024	Update address details

#### 1. INTRODUCTION

- 1.1 GCQ Funds Management Pty Ltd ("GCQ Funds Management, we or us") is committed to effective and efficient complaints management and to fair and transparent dealings in the financial marketplace
- 1.2 A complaint is an expression of dissatisfaction made to or about an organisation, related to its products, services, staff or the handling of a complaint, where a response or resolution is explicitly or implicitly expected or legally required.

## 2. OUR COMPLAINTS MANAGEMENT PROCESS

- 2.1 We take your feedback seriously and will work proactively to investigate and resolve your complaint. If you have a complaint, please contact us by any of the following methods:
  - (a) Email: complaints@gcqfunds.com;
  - (b) Telephone: (02) 7252 9124;
  - (c) Post: Level 9, 139 Macquarie Street, Sydney NSW 2000; or
- 2.2 Any material relating to GCQ Funds Management's Internal Dispute Resolution ("**IDR**") process will be provided to you free of charge.
- 2.3 We will collect certain information from you, including your personal details, contact preferences and a description of the complaint.
- 2.4 We will acknowledge your complaint, generally within two (2) business days and give you the contact details of the person responsible for dealing with your complaint.
- 2.5 We endeavour to resolve all complaints as quickly as practicable. Upon completion of our investigation, we will contact you with a final IDR response within forty-five 45 calendar days of receiving your complaint. This will provide you with information about:
  - (a) the final outcome of your complaint at IDR; and
  - (b) information about other remedies that may be available to you.

## 3. ACCESSIBILITY SERVICES

- 3.1 We ensure that the IDR process is accessible and easy to understand and use.
- 3.2 If you are deaf or have a hearing or speech impairment, you can contact us on the <u>National</u> <u>Relay Service</u>. It's available at no additional charge:
  - (a) Talk to text users, please call 133 677 and then ask for (02) 7252 9124;
  - (b) Speak to listen users, please call 1300 555 727 and then ask for (02) 7252 9124;
  - (c) Internet relay users, please connect to the NRS and then ask for (02) 7252 9124.
- 3.3 If you require this Policy is another language, we will arrange for you to contact a third-party translator.